# LPL BY THE NUMBERS



### **AN INDUSTRY LEADER**

founded

Ranked no. 466 on the Fortune 500 List1



### INDEPENDENT

In the United States<sup>2</sup>

**PROVIDER OF** 3rd-party investment services to banks and credit unions3

**RIA CUSTODIAN** In the United States<sup>4</sup>

### **LPL ACROSS AMERICA** LPL has home offices in Austin,

Boston, Fort Mill, San Diego,



### SERVING INVESTOR ACCOUNTS

Brokerage and advisory client assets, serviced or custodied

24/7

Access to your account information via our mobile app



### INVESTMENT OPTIONS TO ADDRESS YOUR NEEDS



## **ZERO** PROPRIETARY PRODUCTS WITH THOUSANDS OF INVESTMENT OPTIONS

Access to a breadth of investment solutions—from mutual funds to bonds to alternative investments-and fee-based investment programs that your financial professional can leverage to craft your customized portfolio

Data as of May 31, 2021

- Fortune 500 ranks U.S. companies based on a review of the prior year's total revenue and factors such as profits after taxes, year-end assets and total stockholders' equity.
- As reported by Financial Planning magazine, June 1996-2020, based on total revenue.
- 2020/2021 Kehrer Bielan TPM Survey. Based on financial institution market share.
- Cerulli Associates, 2019 U.S. RIA Marketplace Report

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### SUSTAINABLE INVESTING WITH LPL



Sustainable investing is investing with the intention of generating a positive ESG impact alongside a financial return. LPL supports the growth and development of sustainable investing practices by providing education, insights, research, and solutions.

Sustainable mutual fund options

Sustainable exchange-traded fund options

#### PROTECTION YOU CAN RELY ON

\$40M

#### **DEDICATED ANNUAL BUDGET**

We invest in cybersecurity and data privacy to keep you and your data protected from cyberattacks

Risk & compliance experts provide you guidance and oversight

Cybersecurity experts to keep you and your clients protected



### **GIVING BACK TO OUR COMMUNITIES**

\$1.7M

In charitable donations in 2020: The LPL Financial Foundation provides direct financial support to charitable organizations, offer matching gifts for LPL financial professional and employee contributions, and coordinate ongoing employee giving and volunteer activities



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Not Insured by FDIC/NCUA or Any Other Government Agency  Not Bank/Credit Union Guarante	Not Bank/Credit Union Deposits or Obligations	May Lose Value
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